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Signed Andrew Gersey

Dated 18 September 2003

Patent Form 1/77

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THE PATENT OFFICE
J
24 AUG 2002
NEWPORT

1/77

Request for grant of a patent

The Patent Office

Cardiff Road
Newport
Gwent NP9 1RH

1. Your reference — P31821/JED/JAL

27AUG02 E743522-1 002481
P01/7700 0.00-0219758.0

2. Patent Application Number *(the Patent Office will fill in this part)* — 0219758.0

24 AUG 2002

3. Full name, address and postcode of the or of each applicant *(underline all surnames)*

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Patents ADP number *(if you know it)* 7836703001 — 7131006002

If the applicant is a corporate body, give the country/state of its incorporation — United Kingdom

4. Title of the invention — "Device"

5. Name of your agent *(if you have one)* — Murgitroyd & Company

"Address for service" in the United Kingdom to which all correspondence should be sent *(including the postcode)*

165 - 169 Scotland Street
Glasgow
G5 8PL

Patents ADP number *(if you know it)* — 1198015

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and *(if you know it)* the or each application number

Country	Priority application number *(if you know it)*	Date of filing *(day / month / year)*

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application	Date of filing (day / month / year)

8. Is a statement of inventorship and of right to grant a patent required in support of this request? *(Answer 'Yes' if:*
a) any applicant named in part 3 is not an inventor, or
b) there is an inventor who is not named as an applicant, or
c) any named applicant is a corporate body.
See note (d))

Yes

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form	-
Description	13
Claim(s)	-
Abstract	-
Drawing(s)	13 x2

10. If you are also filing any of the following, State how many against each item.

Priority documents	-
Translations of priority documents	-
Statement of inventorship and right to grant of a patent	-
Request for preliminary examination and search (Patents Form 9/77)	-
Request for substantive examination (Patents Form 10/77)	-
Any other document (please specify)	-

11. I/We request the grant of a patent on the basis of this application

Signature MURGITROYD & COMPANY Date 23/08/02

12. Name and daytime telephone number of person to contact in the United Kingdom: Jamie Allan 01224 706616

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"Device"

This invention relates to an implantable replacement joint, and typically, but not exclusively to a body-implantable replacement joint to replace worn or damaged joints in a body.

Joint replacement is a well established practice for treating patients suffering from diseases such as inflammatory arthritis or osteoarthritis. These conditions can result in considerable pain, loss of function, deformity and loss of quality of life. The most common types of artificial implant joints are used to replace worn or damaged hip joints, and typically consist of a ball and socket arrangement attached to bones at respective sides of the joint, or flexible silicon-based bridges such as the Swanson device, which is used for smaller joints such as the wrist or fingers. Loosening, dislocation tearing and fracture have been all reported for existing implants.

According to the present invention there is provided an implantable replacement joint comprising a first component for attachment to a first bone portion, and second component for attachment to a second bone portion, and a flexible component extending between the first and second components.

The first bone portion is typically located on one side of a joint, and the second bone portion is typically located on the other side of the joint.

The first and second components are typically adapted to be anchored within cavities in the respective first and second bone portions on opposing sides of the joint to be replaced. The first and second components can typically be anchored in place using friction, and in such embodiments can be shaped to be an interference fit within a cavity of the first and second bone portions. The cavity can be naturally occurring, e.g. the intramedullary canal, or can be created within a bone or group of bones to receive the first and second components, as required. In alternative embodiments, the first and second components can be anchored into the respective bone portions using adhesives, cement, grout, screw threads, or fixing devices such as screws, nails or expansion devices etc.

In certain embodiments the first and second components have formations on their outer surfaces in order to key into the inner surfaces of the

cavities in the first and second bone portions. The formations on the outer surfaces of the first and second portions can typically be screw threads, annular or semi-annular ridges or simple protrusions or expansion fins on the outer surfaces.

Typically the flexible component is elongate. In preferred embodiments, each of the first and second components has an elongate stem with a central bore extending along the stem to receive a part, e.g. one end, of the flexible component. In such embodiments, the flexible component can thus be substantially contained within a cavity formed by the central bores of the first and second components. Typically the cavity is longer than the flexible component, so that the flexible component can move axially within the cavity. Typically the bores of the first and second components are wider than the flexible component so that the flexible component is a loose fit within the cavity. The relative dimensions of the flexible component and the first and second components are preferably such that even if the first and second components are pushed together to close any gap between the central bores, the flexible component will not be compressed within the cavity by the first and second components.

In especially preferred embodiments, the first and second components have bearing surfaces that articulate against one another when the device is made up. Typically the central bores and the

flexible component extend through the bearing surfaces. The bearing surfaces can be arcuate and can be adapted to promote pivotal movements of the first and second components relative to one another. Preferably bearing surfaces promote particular pivotal movements e.g. in a particular plane. Typically the arcuate portions of the respective bearing surfaces on the first and second components are arranged on opposite axes, so that, for example, the bearing surface on the first component can be convex along an x-axis, and the bearing surface on the second component can be convex along a y-axis intersecting the x-axis. This arrangement can be extremely useful in promoting pivotal movements in more than one plane, allowing the replacement joint a number of degrees of freedom of movement, while controlling the location of the pivot axis on the device. However, it is envisaged that simple embodiments of the invention can be created with only one degree of freedom of movement, and without curved bearing surfaces.

Typically the first and second components are made from a relatively hard plastics material or carbon fibre composites, and preferably from one that is not biodegradable. Suitable materials for the first and second components include stainless steel, alloys such as cobalt chrome or titanium alloy, polyethylene or other plastics materials, or ceramics or carbon fibre composites. It can be advantageous to use materials for the first and second components that have a similar modulus to

bone itself, and plastics materials are particularly useful in this respect.

The flexible component can be made from a resilient material such as rubber, and in preferred embodiments of the invention, the flexible component does have some resilience. The flexible component is typically formed from a relatively softer material than the first and second components. The flexible component can be made from e.g. silicone or polyurethane and can preferably have a flexibility that is intrinsic to the material used, although other forms of flexible component can be used where the flexibility is derived from e.g. a hinge inserted into a rigid material. The material chosen for the flexible portion is typically different from the material chosen for the first and second portions.

The flexible portion can typically have a convoluted hinge made up from a convoluted or folded section of the material.

In some embodiments of the invention, a bearing plate can be provided between the bearing surfaces of the first and second components. The bearing plate can typically be of a different material from the first and second components (for example, where the first and second portions are made from plastics material, the bearing plate can usefully be made from a metal), in order to reduce wear caused by the bearing surfaces of the first and second components

rubbing against one another. The bearing plate can have arcuate surfaces if desired, but in simple embodiments has generally flat faces. The bearing plate can extend the range of movement that is possible between the first and second components, by introducing an additional pivot point, so that each of the first and second components pivots on opposite faces of the bearing plate. The bearing plate can be formed with legs, extensions or prominent edges that can generally attach the bearing plate to one of the first and second components. The bearing plate could also be formed of plastics material, ceramics or other suitable materials. Where the first and second components are formed from ceramics materials, the bearing plate can comprise a plastics material so as to provide an interface of different materials at the bearing surfaces.

The replacement joint of the invention is preferably a wrist joint, but can also be used in any joint, particularly fingers, toes, knees and elbows. Is particularly useful to replace worn or damaged joints where more than two degrees of freedom is required, such as rotation of the first and second components in addition to flexion/extension and medial/lateral deviation.

In especially preferred embodiments of the invention, the pivot axis around which the first and second components move relative to one another is typically movable relative to the device, and this

is typically achieved by the ability of the flexible component to move within the bores of the first and second components, thereby creating a "sloppy hinge" between the first and second components. This permits the first and second components to move axially relative to one another while moving in relative rotation and flexion/extension or in medial/lateral directions. Indeed, the ability to move axially while rotating, deviating laterally, and flexing or extending enables the replacement joint to move in a similar fashion to the natural joint it is replacing. This reduces strain on the anchoring points between the bone portions and the first and second components, and reduces pull-out failures or bone wear at the interfaces.

An embodiment of the present invention will now be described by way of example, and with reference to the accompanying drawings, in which;

Fig 1 is a side view of a body implantable device;

Fig 2 is a front sectional view through the device of Fig 1;

Fig 3 is a side view of a first component of the fig 1 device;

Fig 4 is a front sectional view through the fig 3 component;

Fig 5 is a front view of a second component of the Fig 1 device;

Fig 6 is a side sectional view through the fig 5 component;

Fig 7 is a side view of a bearing plate used in the Fig 1 device;

Fig 8 is a plan view of the bearing plate;

Fig 9 is a side view of a flexible component of the Fig 1 device;

Fig 10 is a perspective view of the Fig 1 device;

Fig 11 is a perspective view of the Fig 1 device in flexion/extension;

Fig 12 is a side view of the Fig 1 device in flexion/extension;

Fig 13 is a perspective view of the Fig 1 device showing lateral deviation;

Fig 14 is a side view of the Fig 1 device showing lateral deviation;

Fig 15 is a front view of the Fig 1 device showing lateral deviation;

Fig 16 is a perspective view of the Fig 1 device showing relative rotation of the two components; and

Fig 17 is a side view of the Fig 1 device showing relative rotation of the two components.

Referring now to the drawings, a body implantable device designed for use as the replacement wrist joint comprises a first component 5 and a second component 10. The first component 5 is dimensioned and adapted to be implanted within the distal end of the intramedullary canal of the radius, and the second component 10 is intended and adapted to be implanted into a bore created in the proximal part

of the carpus and/or metacarpals. Each of the first and second components 5,10 can have external protrusions such as ridges or screw-threads (not shown) to enhance retention of the component within the bone portion into which it is implanted. In this embodiment, each of the first and second components 5,10 is sized and adapted to fit within either the intramedullary canal of the radius or the bore created in the carpus and/or metacarpals and to form an interference fit within that cavity, so that they can be retained therein merely by friction between the outer surface of the components 5,10, and the inner surface of the cavity in the bone(s).

With reference to fig 3 and fig 4, the first component 5 comprises a tapered stem 6 adapted to fit within the distal intramedullary canal of the radius, and a head 7 located on top of the stem 6. The head 7 has laterally extending arms and has a distal convex bearing surface 8 that is curved from the front of the first component 5 to the back. The radius of curvature of the surface 8 is approximately 16mm. The first component 5 has a blind-ended bore 9 extending axially through the stem 6, and presenting an aperture through the upper surface 8 of the head 7.

The first and second components are made from ultra-high molecular weight polyethylene.

With reference to Figs. 5 and 6 the second component 10 also has a tapered stem 11, and a head 12, again

with laterally extending arms, and a proximal bearing surface 13. The proximal bearing surface 13 of the head 12 is also convex, but is curved from one side of the second component 10 to the other side. The radius of curvature of the bearing face 13 is approximately 65mm. The second component 10 has a blind-ended bore 14 extending axially through the stem 11, and presenting an aperture through the upper surface 13 of the head 12.

A flexible rod 15 of silicone as shown in fig 9 has a central cylindrical portion and tapered ends that are adapted to be received within the blind ended bores 9, 14 of the first and second components 5,10 respectively. The length of the flexible rod is typically slightly less than the combined lengths of the blind ended bores 9, 14, so that when the device is assembled with the first and second components 5,10 placed head-to-head, with the bores 9, 14 aligned and the arms on the respective heads arranged parallel to one another, the flexible rod 15 can move axially within the cavity formed by the two bores 9, 14.

With reference to Figs. 7 and 8, a bearing plate 17 formed of stainless steel is typically provided between the bearing surfaces 8, 13 of the heads 7,12, and typically has an aperture 18 to allow passage of the flexible rod 15 through the bearing plate 17. The aperture 18 is aligned with the bores 9,14 when the device is assembled. In this embodiment, the device is made up such that the

bearing surface 8 of the first component 5 articulates against one surface 17a of the bearing plate 17, while the bearing surface 13 of the second component 10 articulates against the opposite surface 17b of the bearing plate 17. The bearing plate 17 typically has arms extending from the surface 17b plate to engage the side walls of the head 12 of the second portion 10. It will be appreciated that embodiments of the invention can function satisfactorily without a bearing plate 17, and that bearing plates can be used without side walls.

Turning now to Figs. 10 to 17, the device is shown at rest in fig 10, with the two components 5,10 in axial alignment with one another with the bearing plate 17 interposed. In this configuration, the flexible rod 15 is not bent or energised in any way and is held within the cavity formed by the bores 9, 14. Figs 11 and 12 show the device in flexion, with the second component 10 pivoting with respect to the first component 5 around the y-axis shown in fig 10. Notice that the bearing plate 17 moves with the second portion 10 relative to the first portion 5,and that the bearing surface 8 of the head 7 of the first portion 5 articulates against the face 17a of the bearing plate 17. The front to back curvature of the bearing surface 8 promotes a smooth articulation about the y-axis. The ends of the flexible rod 15 remain within the bores 9, 14, and the central portion of the rod 15 bends to accommodate and control the flexion. Since the rod

15 can move axially within the cavity formed by the bores 9, 14, the pivot axis formed in the central portion of the rod 15 can move axially with respect to the first and second portions 5,10 as the device flexes, thereby allowing a greater range of movement of the joint. Also, since the flexible rod 15 can move within the cavity formed by the bores 9, 14, the two portions 5,10 can extend relative to one another along the x-axis, while undergoing flexion, extension, medial/lateral deviation and/or rotation.

Figs 13, 14 and 15 show the joint moving in medial/lateral deviation around the z-axis of fig 10, i.e. as if moving in radio-ulnar deviation when in place in the body. Notice that during lateral deviation around the z-axis, the bearing plate 17 remains with the first portion 5, and the bearing surface 13 of the head 12 of the second portion 10 articulates against the surface 17b of the plate 17. In pure lateral deviation, with no movement around the y-axis, the pivotal movement of the plate 17 relative to the first portion 5 is negligible, and the lateral movement of the first portion 10 is constrained by the head 12 moving within the confines of the arms of the bearing plate 17. In certain circumstances, the plate 17 can move relative to the first portion 5, for example, when the flexible rod 15 moves axially to allow the extension of the device.

Figs. 16 and 17 show relative rotational movement of the two portions 5,10 around the x-axis. Notice

that the arms of the bearing plate 17 keep the plate 17 stationary with respect to the second portion 10, and the two portions pivot around the axis of the flexible rod 15 held straight within the central cavity formed by the bores 9, 14.

Clearly is possible for the joint to carry out more complex combination movements involving a combination of rotation, medial/lateral deviation, and extension/flexion, in any combination. It is also possible for axial separation of the two portions to occur during any such movement.

Modifications and improvements can be incorporated without departing from the scope of the inventi..

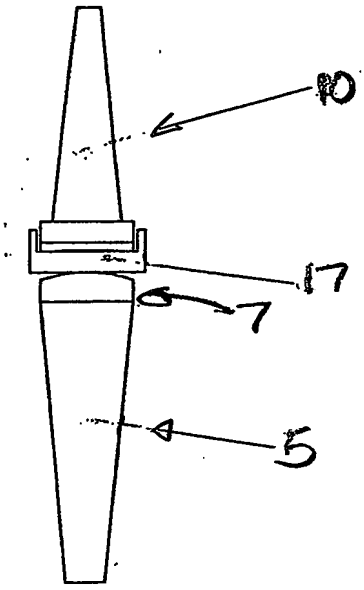

Figure 1

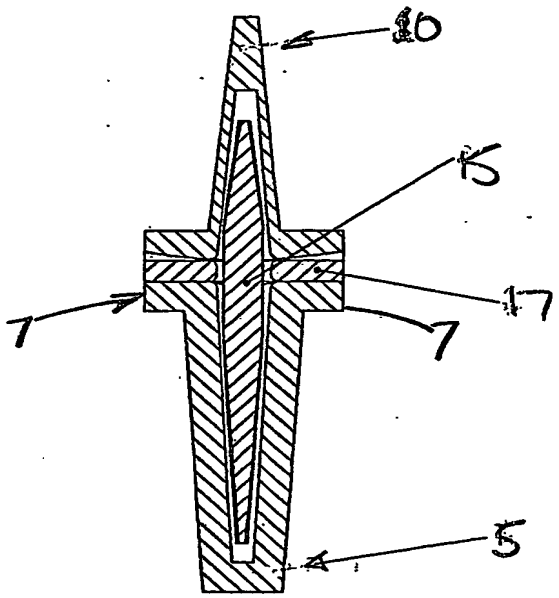

Figure 2

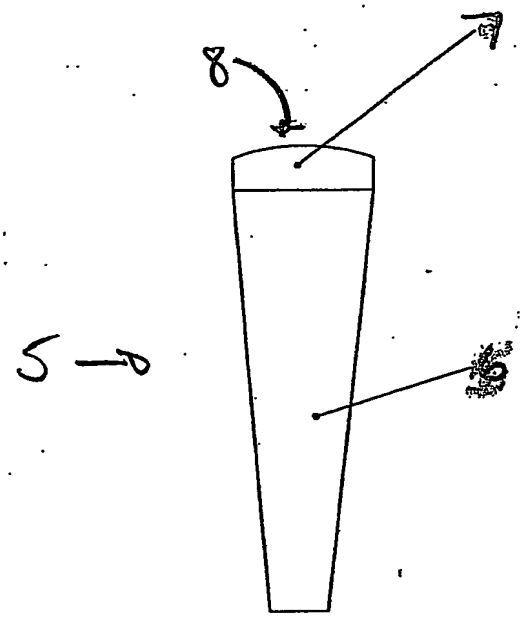

Figure 3

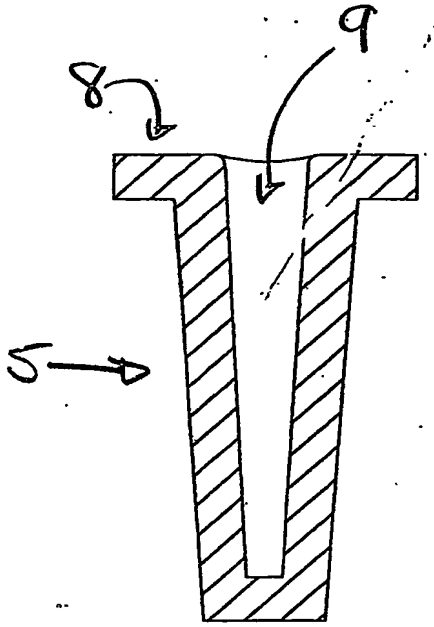

Figure 4

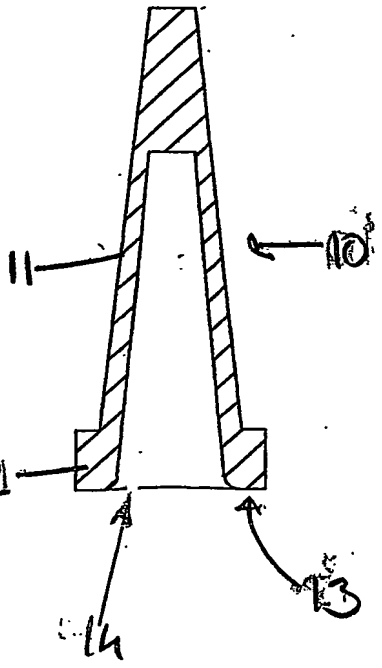

fig6

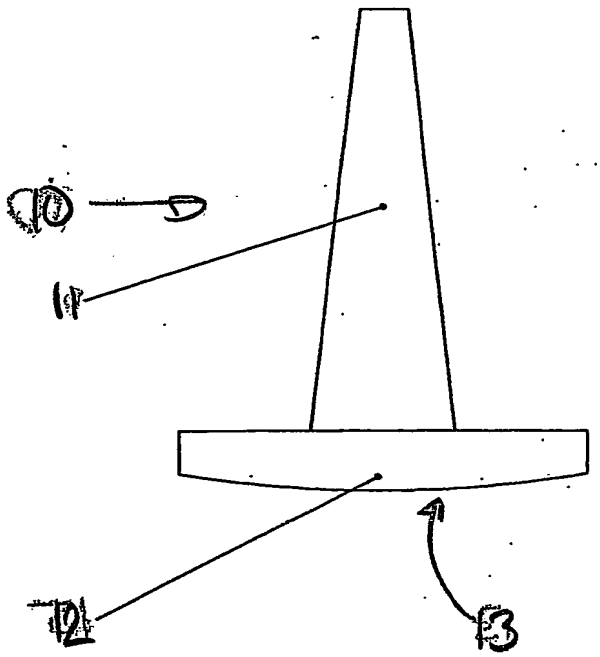

fig5

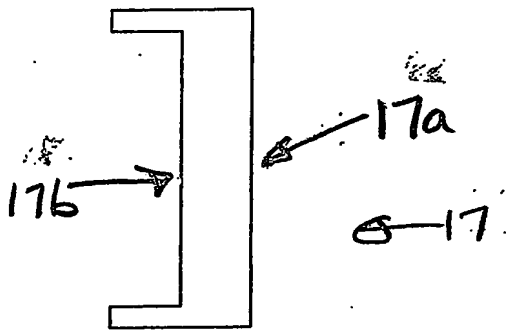

Figure 7

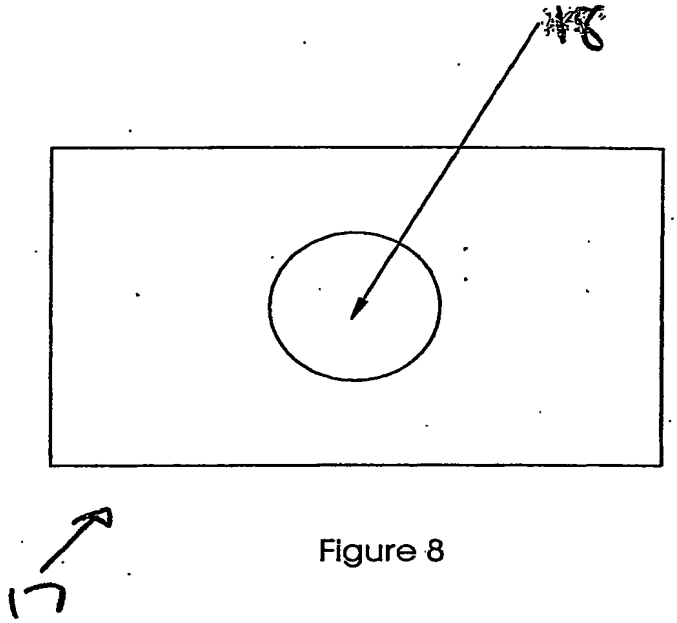

Figure 8

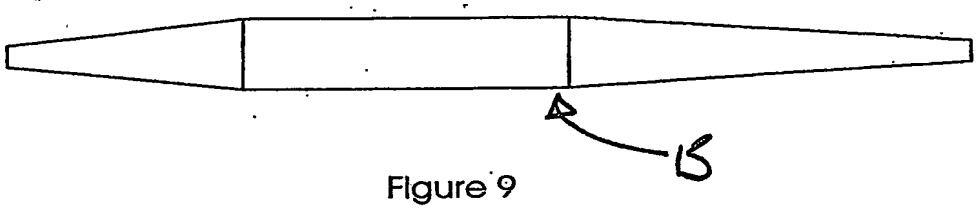

Figure 9

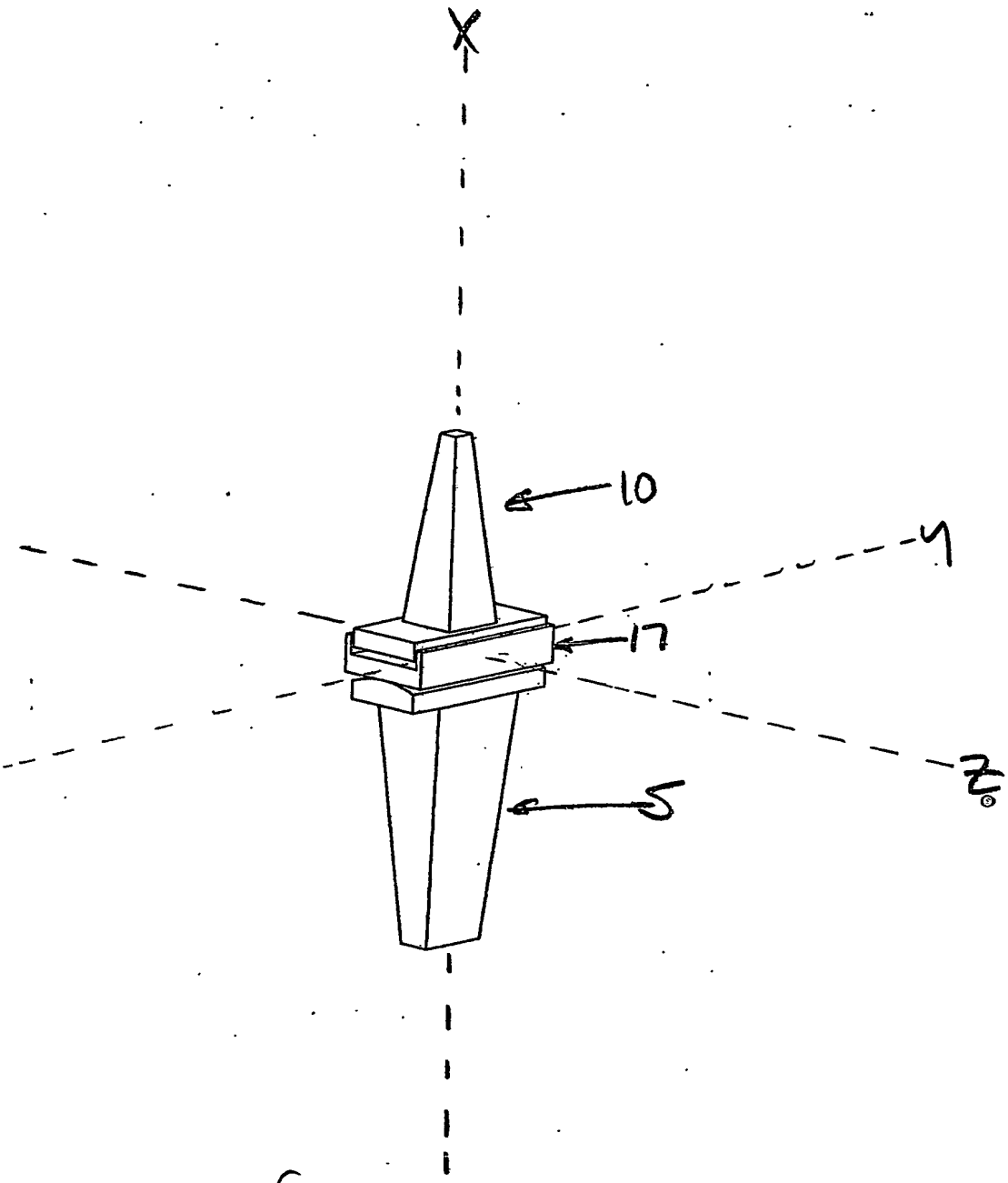

Fig 10

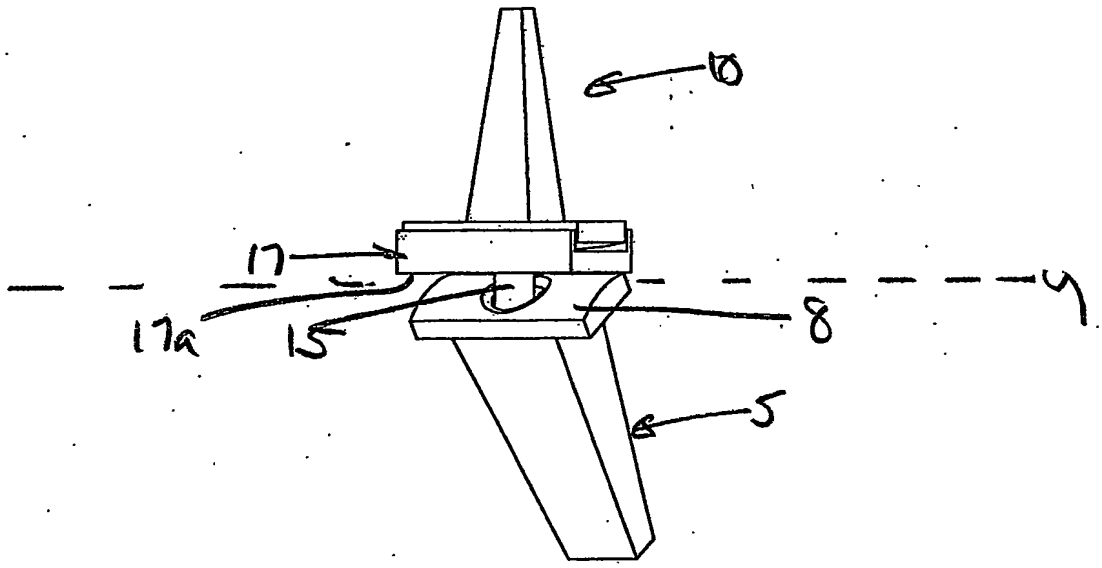

fig11

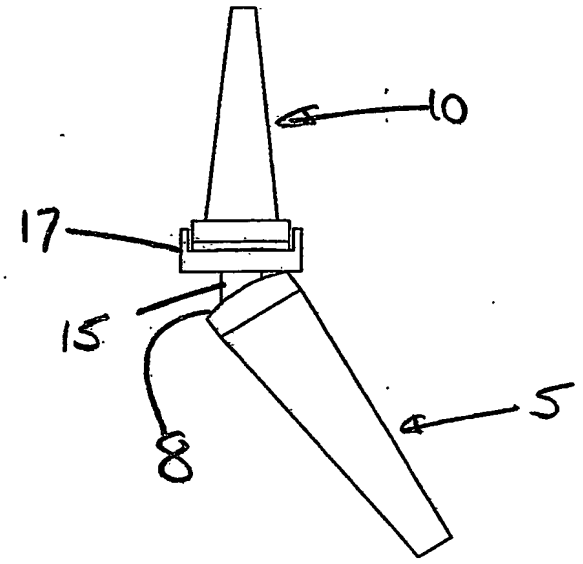

Fig 12

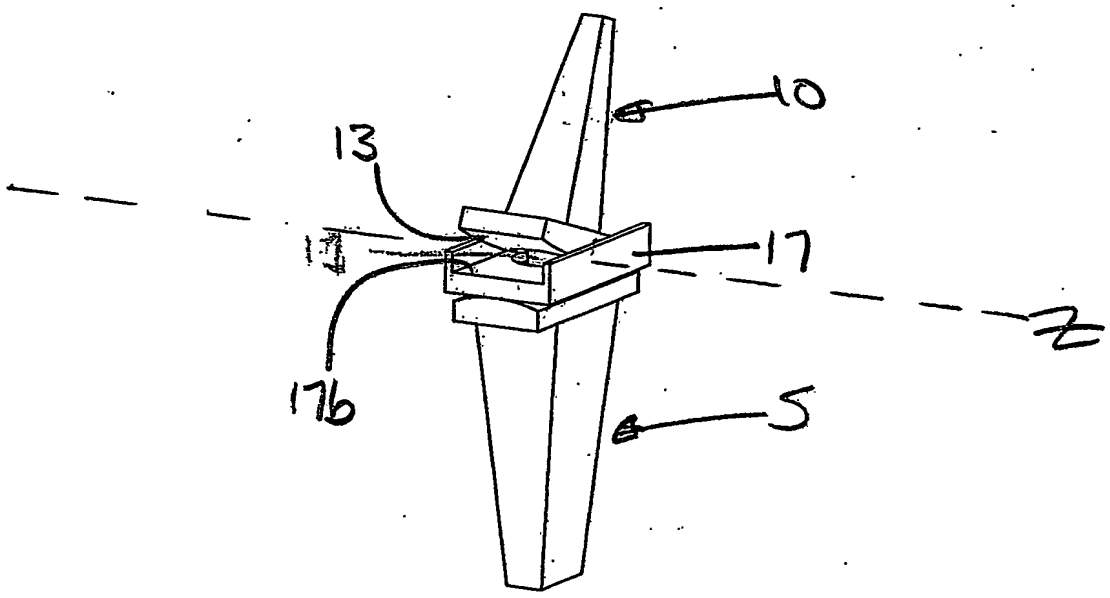

Fig 13

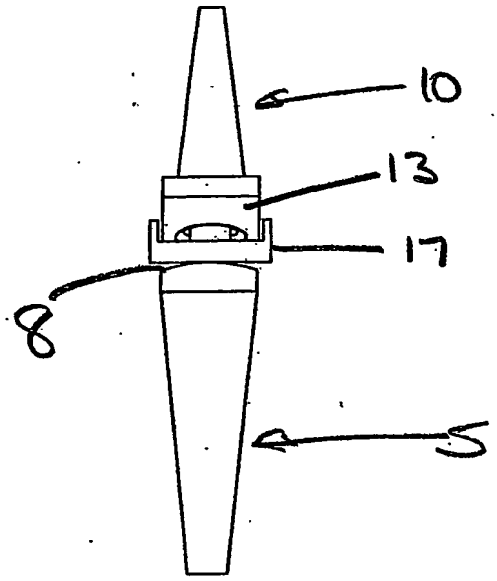

Fig 4

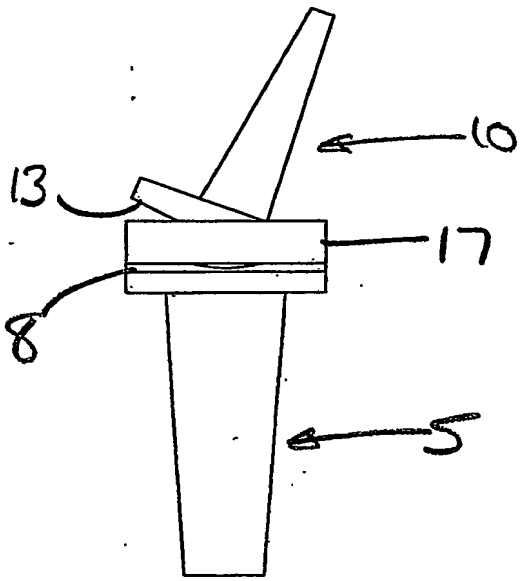

fig 15

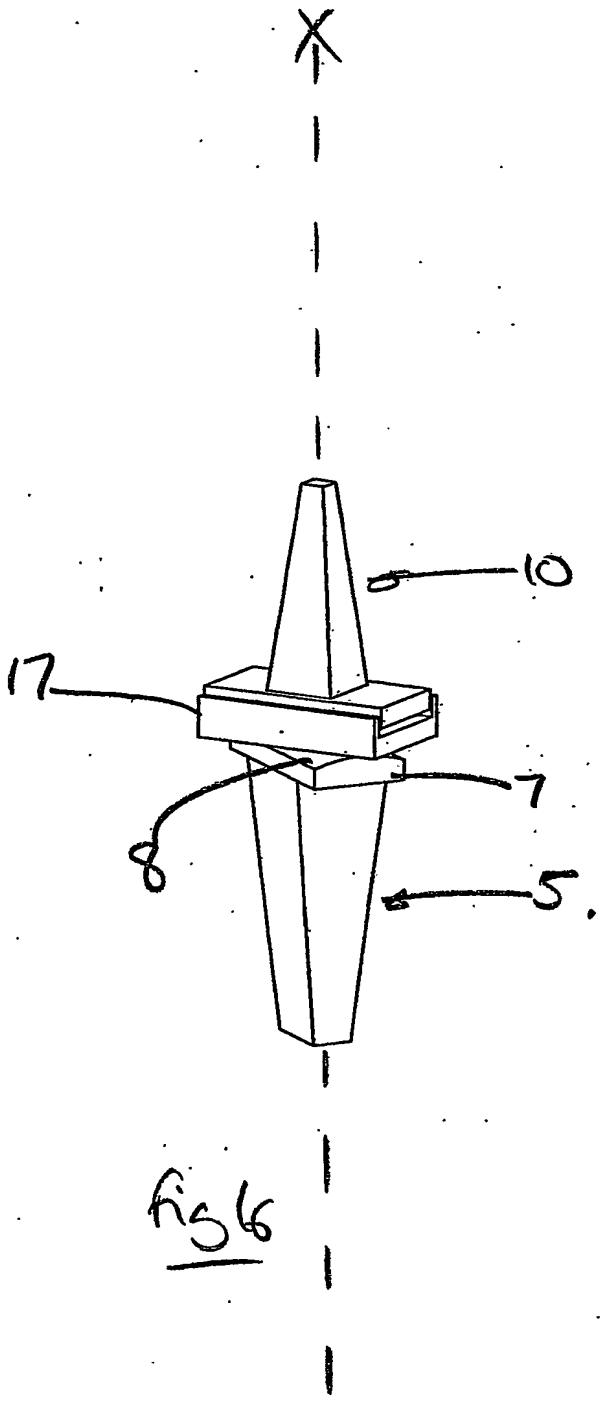

fig 6

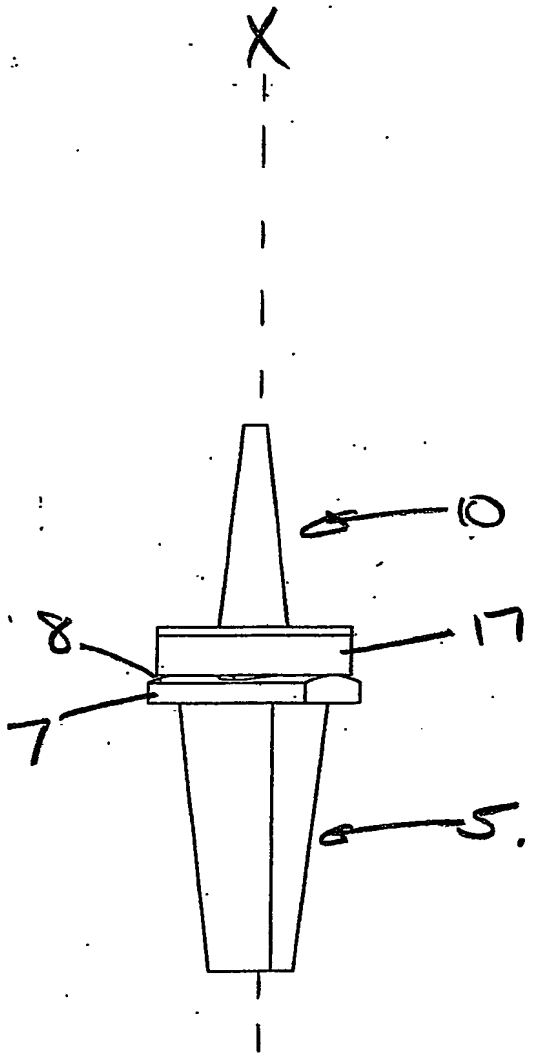

fig17